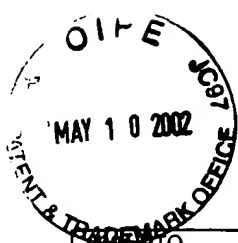


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PTO/SB/21 (modified)  
Approved for use through xx/xx/xx, OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Department of Commerce Patent and Trademark Office  <b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>		Application Number <b>09/757,872</b>
		Filing Date <b>January 10, 2001</b>
		First Named Inventor <b>John S. Flowers et al.</b>
		Group Art Unit Number <b>2131</b>
		Examiner Name <b>UNASSIGNED</b>
Total Number of Pages in This Submission <b>8</b>	Attorney Docket Number <b>22192-06893</b>	

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ENCLOSURES (check all that apply)	
<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Declaration <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References <input checked="" type="checkbox"/> Request for Corrected Filing Receipt <input checked="" type="checkbox"/> Red-inked copy of Filing Receipt <input type="checkbox"/> Amendment/Response: [ ] Page(s) <input type="checkbox"/> After Final <input checked="" type="checkbox"/> Revocation and Substitute Power of Attorney <input checked="" type="checkbox"/> Certificate Under 37 CFR § 3.73(b)	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
REMARKS:	

JUN 24 2002

Technology Center 2100

SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	Brian M. Hoffman, Reg. No. 39,713	Dated:	April 30, 2002

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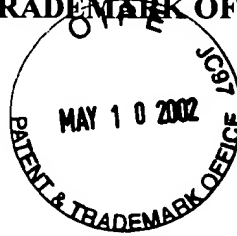
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PATENT

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS: John S. Flowers *et al.*  
APPLICATION NO.: 09/757,872  
FILING DATE: January 10, 2001  
TITLE: QUERY-BASED RULES FOR USE IN NETWORK SECURITY SYSTEMS  
EXAMINER: UNASSIGNED  
GROUP ART UNIT: 2131  
ATTY. DKT. NO.: 22192-06893



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REQUEST FOR CORRECTED FILING RECEIPT

SIR:

Enclosed is a red-inked copy of the Updated Filing Receipt. It contains the following error:

1. The Projected Publication Date is erroneously stated as 07/11/2002. A Non-Publication Request was filed with the original application, as indicated in the line below the Projected Publication Date: "Non-Publication Request: Yes."


**PATENT**

Please correct the record and issue a corrected Filing Receipt to the undersigned.

Respectfully submitted,

JOHN S. FLOWERS *et al.*

Dated: April 30, 2002

By:   
\_\_\_\_\_  
Brian M. Hoffman, Reg. No. 39,713  
Attorney for Applicants  
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Palo Alto, CA 94306  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/757,872 ✓	01/10/2001 ✓	3431	1562	HVWD- 01003US0 MEM/SBS	4	29 ✓	10 ✓

Sarah Barone Schwartz  
FLIESLER, DUBB, MEYER & LOVEJOY LLP  
Suite 400  
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San Francisco, CA 94111-4156

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MAY 24 2001

FLIESLER DUBB,  
MEYER & LOVEJOY

CONFIRMATION NO. 8233 ✓

UPDATED FILING RECEIPT



\*OC000000006094996\*

Date Mailed: 05/18/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

John S. Flowers, Emeryville, CA; ✓  
Thomas C. Stracener, Emeryville, CA;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/175,332 01/10/2000 ✓

## Foreign Applications

If Required, Foreign Filing License Granted 02/22/2001 ✓

Projected Publication Date: 07/11/2002

Non-Publication Request: Yes ✓

Early Publication Request: No ✓

## Title

Query-based rules for use in network security systems ✓

## Preliminary Class

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Technology Center 2100

Request for non-publication acknowledged

Fliesler, Dubb, Meyer & Lovejoy  
File: NCIR-01003US0

Action Item: Action #13

Date Due: March 10, 2002

Critical Date: March 10, 2002

Priority Path: MEM/SBS

Requested By: gvw. dlttd.

Date: 5/25

713

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Team : OIPE

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Bib Data Sheet

CONFIRMATION NO. 8233

<b>SERIAL NUMBER</b> 09/757,872	<b>FILING DATE</b> 01/10/2001 <b>RULE</b>	<b>CLASS</b> 713	<b>GROUP ART UNIT</b> 2131	<b>ATTORNEY DOCKET NO.</b> 22192-06893
<b>APPLICANTS</b> John S. Flowers, Emeryville, CA; Thomas C. Stracener, Emeryville, CA;				
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/175,332 01/10/2000				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 02/22/2001</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 4	<b>TOTAL CLAIMS</b> 29
<b>INDEPENDENT CLAIMS</b> 10				
<b>ADDRESS</b> 00758				
<b>TITLE</b> Query-based rules for use in network security systems				
<b>FILING FEE RECEIVED</b> 1562	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	